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PTO/SB/05 (03-01)

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Please type a plus sign (+) inside this box  Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No. 96700/677

First Inventor David E. Weinstein

Title METHODS FOR INHIBITING PROLIFERATION OF ASTROCYTES AND  
ASTROCYTIC TUMOR CELLS AND USES THEREOF

Express Mail Label No. EL613312860US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 56]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Pages ]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☒ Other: cover sheet for  
patent application

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

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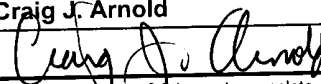
Name (Print/Type)

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Registration No. (Attorney/Agent)

34,287

Signature



Date

Nov. 7, 2001

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the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC  
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November 7, 2001

Commissioner for Patents  
Washington, DC 20231

## Box Patent Application

"Express Mail" mailing label no. EL613312860US  
Date of Deposit: November 7, 2001

I hereby certify that this paper or fee is being  
deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service under  
37 CFR 1.10 on the date indicated above and is  
addressed to the Commissioner for Patents,  
Washington, D.C. 20231.

Name: Lisa M. Melanson

Signature: Lisa Melanson

Re: New U.S. Utility Patent Application  
Title: METHODS FOR INHIBITING PROLIFERATION  
OF ASTROCYTES AND ASTROCYTIC TUMOR  
CELLS AND USES THEREOF  
Inventor: David E. Weinstein  
Our File: 96700/677

Dear Sir:

Pursuant to 37 C.F.R. §1.53, enclosed please find a new U.S. Patent  
Application in the name of David E. Weinstein, entitled, METHODS FOR INHIBITING  
PROLIFERATION OF ASTROCYTES AND ASTROCYTIC TUMOR CELLS AND USES  
THEREOF, including the following:

1. a Utility Patent Application Transmittal cover sheet  
(Form PTO/SB/05) (1 page);
2. a complete patent application, including a cover page (1 page), a  
specification (50 pages), claims (5 pages), an abstract (1 page), and  
Figures 1-8 (10 sheets); and
3. a return receipt postcard.

This application claims the benefit of U.S. Application No. 60/246,868, filed November  
8, 2000.

Commissioner for Patents

-2-

November 7, 2001

Please acknowledge receipt of the enclosed papers by stamping the enclosed postcard and returning the same to us.

Respectfully submitted,

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By: Craig J. Arnold  
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Reg. No. 34,287

Dated: November 7, 2001  
New York, New York

10035914-110701

96700/677

New U.S. Utility Patent Application

Title: METHODS FOR INHIBITING PROLIFERATION OF ASTROCYTES  
AND ASTROCYTIC TUMOR CELLS AND USES THEREOF

Inventor: David E. Weinstein

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